

**GAIN Capital Group LLC**  
**Net Capital Summary**

	11/30/2016	12/31/2016	1/31/2017	2/28/2017	3/31/2017	4/30/2017	5/31/2017	6/30/2017	7/31/2017	8/31/2017	9/30/2017	10/31/2017	11/30/2017
<b>Net Capital</b>	\$42,149,144	\$47,987,172	\$45,501,792	\$51,694,417	\$45,329,420	\$45,598,903	\$44,993,991	\$45,491,770	\$45,639,233	\$45,592,180	\$46,743,502	\$44,895,279	\$47,347,149
<b>Adjusted Net Capital</b>	\$41,105,713	\$46,538,223	\$44,416,100	\$50,712,422	\$43,579,557	\$44,704,381	\$43,786,404	\$44,322,319	\$44,611,380	\$44,679,415	\$45,641,032	\$44,067,374	\$46,277,710
<b>Excess Net Capital</b>	\$14,023,025	\$19,533,357	\$17,439,521	\$16,495,169	\$9,479,190	\$11,110,827	\$9,794,478	\$10,335,861	\$11,208,092	\$10,785,155	\$11,242,776	\$9,591,361	\$11,908,939